## MERCHANT, GOULD, SMITH, EDELL, WELTER & SCHMIDT

## **United States Patent Application**

## COMBINED DECLARATION AND POWER OF ATTORNEY

As a below named inventor I hereby declare that: my residence, post office address and citizenship are as stated below next to my name; that

I verily believe I am the original, first and sole inventor (if only one name is listed below) or a joint inventor (if plural invento are named below) of the subject matter which is claimed and for which a patent is sought on the invention entitled: WATER TREATMENT DEVICE WITH FILL-COUNTING CARTRIDGE

The specification of which			
a. is attached hereto			
b. was filed on April 30, 1996, as application serial no. 0	)8/640,205 and	was amended on	(if applicable) (in the case of a PC
filed application) described and claimed in international no.	filed	and as amended on	(if any), which I have
reviewed and for which I solicit a United States patent.			, ,,,

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended 1 any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Cox of Federal Regulations, § 1.56 (attached hereto).

I hereby claim foreign priority benefits under Title 35, United States Code, § 119/365 of any foreign application(s) for patent of inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a fili date before that of the application on the basis of which priority is claimed:

- a. 

  no such applications have been filed.
- b.  $\square$  such applications have been filed as follows:

	FOREIGN APPLICATION(S), IF ANY	, CLAIMING PRIORITY UNDER	35 USC § 119
COUNTRY	APPLICATION NUMBER	DATE OF FILING (day, month, year)	DATE OF ISSUE (day, month, year)
	ALL FOREIGN APPLICATION(S), IF ANY,	FILED BEFORE THE PRIORITY	( APPLICATION(S)
COUNTRY	APPLICATION NUMBER	DATE OF FILING (day, month, year)	DATE OF ISSUE (day, month, year)

I hereby claim the benefit under Title 35, United States Code, § 120/365 of any United States and PCT international application(s) liste below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application is the manner provided by the first paragraph of Title 35, United States Code, § 112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, § 1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application.

U.S. APPLICATION NUMBER	DATE OF FILING (day, month, year)	STATUS (patented, pending, abandoned)

I hereby appoint the following attorney(s) and/or patent agent(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected herewith:

Adriano, Sarah B.	Reg. No. 34,470	Kowalchyk, Alan W.	Reg. No. 31,535
Batzli, Brian H.	Reg. No. 32,960	Kowalchyk, Katherine M.	Reg. No. 36,848
Beard, John L.	Reg. No. 27,612	Krull, Mark A.	Reg. No. 34,205
Beck, Robert C.	Reg. No. 28,184	Lacy, Paul A.	Reg. No. 38,946
Bejin, Thomas E.	Reg. No. 37,089	Lasky, Michael B.	Reg. No. 29,555
Berman, Charles	Reg. No. 29,249	Lynch, David W.	Reg. No. 36,204
Bogucki, Raymond A.	Reg. No. 17,426	Mau, Michael L.	Reg. No. 30,087
Bruess, Steven C.	Reg. No. 34,130	McCormack, Myra H.	Reg. No. 36,602
Byrne, Linda M.	Reg. No. 32,404	McDaniel, Karen D.	Reg. No. 37,674
Carlson, Alan G.	Reg. No. 25,959	McDonald, Daniel W.	Reg. No. 32,044
Carter, Charles G.	Reg. No. 35,093	McDonald, Wendy M.	Reg. No. 32,427
Caspers, Philip P.	Reg. No. 33,227	McIntyre, Iain A.	Reg. No. P-40,377
Chiapetta, James R.	Reg. No. 39,634	Miller, William D.	Reg. No. 37,988
Clifford, John A.	Reg. No. 30,247	Mueller, Douglas P.	Reg. No. 30,300
Conrad, Timothy R.	Reg. No. 30,164	Nasiedlak, Tyler L.	Reg. No. 40,099
Cooper, Victor G.	Reg. No. 39,641	Nelson, Albin J.	Reg. No. 28,650
Crawford, Robert	Reg. No. 32,122	Pauly, Daniel M.	Reg. No. 40,123
Daignault, Ronald A.	Reg. No. 25,968	Plunkett, Theodore	Reg. No. 37,209
Daley, Dennis R.	Reg. No. 34,994	Pollinger, Steven J.	Reg. No. 35,326
Daulton, Julie R.	Reg. No. 36,414	Reich, John C.	Reg. No. 37,703
Davidson, Ben M.	Reg. No. 38,424	Reiland, Earl D.	Reg. No. 25,767
Dempster, Shawn B.	Reg. No. 34,321	Schmaltz, David G.	Reg. No. 39,828
DiPietro, Mark J.	Reg. No. 28,707	Schmidt, Cecil C.	Reg. No. 20,566
Dryja, Michael A.	Reg. No. 39,662	Schuman, Mark D.	Reg. No. 31,197
Edell, Robert T.	Reg. No. 20,187	Schumann, Michael D.	Reg. No. 30,422
Epp Ryan, Sandra	Reg. No. 39,667	Sebald, Gregory A.	Reg. No. 33,280
Farber, Michael B.	Reg. No. 32,612	Sharp, Janice A.	Reg. No. 34,051
Fauver, Cole M.	Reg. No. 36,797	Skoog, Mark T.	Reg. No. 40,178
Funk, Steven R.	Reg. No. 37,830	Smith, Jerome R.	Reg. No. 35,684
Gabilan, Mary Susan	Reg. No. 38,729	Sorensen, Andrew D.	Reg. No. 33,606
Gates, George H.	Reg. No. 33,500	Stinebruner, Scott A.	Reg. No. 38,323
Golla, Charles E.	Reg. No. 26,896	Strawbridge, Douglas A.	Reg. No. 28,376
Gorman, Alan G.	Reg. No. 38,472	Strodthoff, Kristine M.	Reg. No. 34,259
Gould, John D.	Reg. No. 18,223	Sumner, John P.	Reg. No. 29,114
Gresens, John J.	Reg. No. 33,112	Sumners, John S.	Reg. No. 24,216
Hamre, Curtis B.	Reg. No. 29,165	Tellekson, David K.	Reg. No. 32,314
Hassing, Thomas A.	Reg. No. 36,159	Underhill, Albert L.	Reg. No. 27,403
Hillson, Randall A.	Reg. No. 31,838	Vandenburgh, J. Derek	Reg. No. 32,179
Hollingsworth, Mark A.	Reg. No. 38,491	Welter, Paul A.	Reg. No. 20,890
Johnston, Scott W.	Reg. No. 39,721	Williams, Douglas J.	Reg. No. 27,054
Kastelic, Joseph M.	Reg. No. 37,160	Wood, Gregory B.	Reg. No. 28,133
Kettelberger, Denise	Reg. No. 33,924	Xu, Min S.	Reg. No. 39,536

I hereby authorize them to act and rely on instructions from and communicate directly with the person/assignee/attorney/firm/ organization who/which first sends/sent this case to them and by whom/which I hereby declare that I have consented after full disclosure to be represented unless/until I instruct Merchant, Gould to the contrary.

Please direct all correspondence in this case to Merchant, Gould, Smith, Edell, Welter & Schmidt at the address indicated below:

Merchant, Gould, Smith, Edell, Welter & Schmidt 3100 Norwest Center 90 South Seventh Street Minneapolis, MN 55402-4131 I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so mad are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

	Full Name	Family Name	First Given Name Second Given		
2	Of Inventor	Tanner	John	D.	
0	Residence	City	State or Foreign Country	Country of Citizenship	
	& Citizenship	Plymouth	Minnesota	U.S.A.	
1	Post Office	Post Office Address	City	State & Zip Code/Count	
	Address	16305 8th Avenue North	Plymouth	MN/55447/USA	
Sign	ature of Inventor	201: Jen Jan	Date	8/23/96	
	Full Name	Family Name	First Given Name	Second Given Name	
2	Of Inventor	Emmons	David	J.	
0	Residence	City	State or Foreign Country	Country of Citizenship	
	& Citizenship	Plymouth	Minnesota	U.S.A.	
2	Post Office	Post Office Address	City	State & Zip Code/Country	
A60 46.	Address	670 Windmere Curve	Plymouth	MN/55441/USA	
Sign	ature of Inventor 2	202 Lul V	Date	8/23/96	
	Full Name	Family Name	First Given Name	Second Given Name	
2	Of Inventor	Orwoll	Erik	G.	
)	Residence	City	State or Foreign Country	Country of Citizenship	
	& Citizenship	Minnetonka	Minnesota	U.S.A.	
3	Post Office	Post Office Address	City	State & Zip Code/Country	
	Address	6135 Chasewood Parkway, #226	Minnetonka	MN/55343/USA	
Sign	ature of Inventor	203: Li. 6. Tal	Lin C. Tall Date	8-28-96 8-28-96	
2	Full Name Of Inventor	Family Name	First Given Name	Second Given Name	
0	Residence & Citizenship	City	State or Foreign Country	Country of Citizenship	
4	Post Office Address	Post Office Address	City	State & Zip Code/Country	
Sign	ature of Inventor 2	.04: -	Dates		
2	Full Name Of Inventor	Family Name	First Given Name	Second Given Name	
0	Residence & Citizenship	City	State or Foreign Country	Country of Citizenship	
5	Post Office Address	Post Office Address	City	State & Zip Code/Counti	
2:	ature of Inventor 2	205:	Date	•	

## § 1.56 Duty to disclose information material to patentability.

- (a) A patent by its very nature is affected with a public interest. The public interest is best served, and the most effective patent examination occurs when, at the time an application is being examined, the Office is aware of and evaluates the teachings of all information material to patentability. Each individual associated with the filing and prosecution of a patent application has a duty of candor and good faith in dealing with the Office, which includes a duty to disclose to the Office all information known to that individual to be material to patentability as defined in this section. The duty to disclose information exists with respect to each pending claim until the claim is cancelled or withdrawn from consideration, or the application becomes abandoned. Information material to the patentability of a claim that is cancelled or withdrawn from consideration need not be submitted if the information is not material to the patentability of any claim remaining under consideration in the application. There is no duty to submit information which is not material to the patentability of any existing claim. The duty to disclose all information known to be material to patentability is deemed to be satisfied if all information known to be material to patentability of any claim issued in a patent was cited by the Office or submitted to the Office in the manner prescribed by §§ 1.97(b)-(d) and 1.98. However, no patent will be granted on an application in connection with which fraud on the Office was practiced or attempted or the duty of disclosure was violated through bad faith or intentional misconduct. The Office encourages applicants to carefully examine:
  - (1) prior art cited in search reports of a foreign patent office in a counterpart application, and
- (2) the closest information over which individuals associated with the filing or prosecution of a patent application believe any pending claim patentably defines, to make sure that any material information contained therein is disclosed to the Office.
- (b) Under this section, information is material to patentability when it is not cumulative to information already of record or being made of record in the application, and
- (1) It establishes, by itself or in combination with other information, a prima facie case of unpatentability of a claim; or
  - (2) It refutes, or is inconsistent with, a position the applicant takes in:
  - (i) Opposing an argument of unpatentability relied on by the Office, or
  - (ii) Asserting an argument of patentability.

A prima facie case of unpatentability is established when the information compels a conclusion that a claim is unpatentable under the preponderance of evidence, burden-of-proof standard, giving each term in the claim its broadest reasonable construction consistent with the specification, and before any consideration is given to evidence which may be submitted in an attempt to establish a contrary conclusion of patentability.

- (c) Individuals associated with the filing or prosecution of a patent application within the meaning of this section are:
- (1) Each inventor named in the application:
- (2) Each attorney or agent who prepares or prosecutes the application; and
- (3) Every other person who is substantively involved in the preparation or prosecution of the application and who is associated with the inventor, with the assignee or with anyone to whom there is an obligation to assign the application.
- (d) Individuals other than the attorney, agent or inventor may comply with this section by disclosing information to the attorney, agent, or inventor.